

Refined open topological strings: single brane

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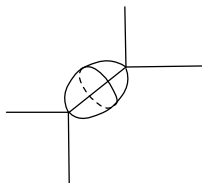
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outline

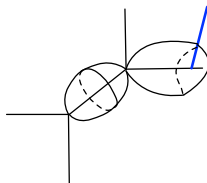
- ▶ Closed topological strings
 1. M-theory interpretation
 2. Refined topological vertex
- ▶ Open topological strings
 1. Refined geometric transition
 2. t, q, \bar{t}, \bar{q} -branes
- ▶ Open BPS invariants
 1. Open GV formula
 2. Examples: strips, $\mathbb{F}_0, \mathbb{F}_2$.
- ▶ HW transitions

Topological strings in M-theory

- ▶ Topological strings have gauge theory interpretations in M-theory/IIA/IIB. In M-theory, M2-branes wrapping on spheres \mathbb{P}^1 are closed top. strings and give closed BPS states. M2-branes wrapping on discs \mathbb{C}^1 are open top. strings and give open BPS states.



closed top. string



open top. string

- ▶ The summation of closed string contributions is closed partition function, and the summation of open string contributions is open partition functions.

Closed partition function

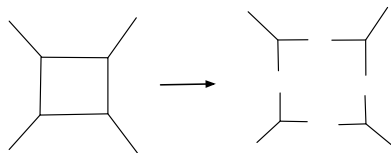
- ▶ Topological strings could be refined by the Lorentz symmetry $SU(2)_L \times SU(2)_R$ in spacetime of $\mathbb{R}^4 \times S^1 \times CY_3$.

$$\mathbb{R}^4 = \mathbb{C}_q \times \mathbb{C}_{\bar{t}}$$

$$(z_1, z_2) \rightarrow (qz_1, t^{-1}z_2)$$

- ▶ Refined closed partition functions can be calculated by refined topological vertex, which cuts toric diagrams into building blocks: vertex and edges. Then

$$Z^{\text{closed}}(Q, t, q) = \sum_{\mu} \prod (\text{Vertex factor}) \cdot \prod (\text{Edge factor}).$$



- ▶ Closed partition functions can be written in terms of Nekrasov factors.

$$Z^{\text{closed}} = Z^M \cdot \sum_{\mu} Q_i^{|\mu|} \|Z_{\mu}(t, q)\|^2 \frac{\prod N_{\nu}^{\text{half},-}(Q_i) N_{\mu,\nu}(Q_i)}{\prod N_{\mu,\nu}(Q_i)},$$

where Nekrasov factors (functions) are defined as

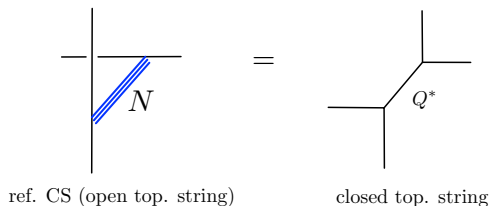
$$N_{\mu\nu}(Q; t, q) := \prod_{i,j=1}^{\infty} \frac{1 - Q q^{\nu_i-j} t^{\mu_j^T - i + 1}}{1 - Q q^{-j} t^{-i+1}},$$

$$N_{\nu}^{\text{half},-}(Q) := N_{\nu\emptyset} \left(Q \sqrt{\frac{q}{t}} \right), \quad N_{\nu}^{\text{half},+}(Q) := N_{\emptyset\nu} \left(Q \sqrt{\frac{q}{t}} \right).$$

- ▶ Nekrasov factors is powerful in gauge theory. As we will see later, Nekrasov factors determines geometric transitions.

Geometric transition

- ▶ Refined geometric transition is the open-closed duality in topological strings, which equals open topological strings to closed top. strings, and is the foundation for refined topological vertex. Taking conifold as an example



$$Z^{ref.CS}(t, q) = Z^{closed}(Q^*, t, q), \quad Q^* = t^N \sqrt{\frac{t}{q}} \text{ or } \frac{1}{q^N} \sqrt{\frac{t}{q}}$$

- ▶ N is the number of M5-branes on Lag-submfds. From ref. CS theory viewpoint, if $N=0$, NO brane, if $N=1$, Single t -brane or \bar{q} -brane in this case.

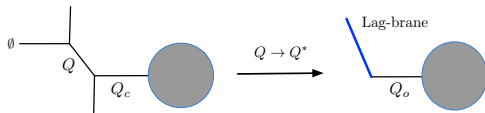
t, q, \bar{t}, \bar{q} -brane

- ▶ M5-brane on $\text{Lag} \times \mathbb{C}$ where \mathbb{C} can be \mathbb{C}_q or $\mathbb{C}_{\bar{t}}$, giving rise to q -brane and \bar{t} -brane respectively. Recall

$$\mathbb{R}^4 = \mathbb{C}_q \times \mathbb{C}_{\bar{t}}, \quad (z_1, z_2) \rightarrow (qz_1, t^{-1}z_2).$$

- ▶ M2-branes ending on q, \bar{q}, t, \bar{t} -branes give rise to open BPS states.
- ▶ Geometric transition creates branes, and equals open top. strings to closed top. strings by tuning Q to some specific value Q^* .

$$Z^{open} = Z^{closed}(Q^*)$$



- ▶ Q^* is crucial. Going to ref CS theory is complicated for generic local CY_3 . However, Q^* can be easily determined from half-Nekrasov factors $N_\nu^{\text{half},\pm}(Q^*)$ because of constraints

$$N_\nu^{\text{half},+} \left(q \sqrt{\frac{q}{t}} \right) \neq 0 \quad \text{only if } \nu = \{n\}$$

$$N_\nu^{\text{half},+} \left(\frac{1}{t} \sqrt{\frac{q}{t}} \right) \neq 0 \quad \text{only if } \nu = \{1, 1, \dots, 1\}$$

$$N_\nu^{\text{half},-} \left(t \sqrt{\frac{t}{q}} \right) \neq 0 \quad \text{only if } \nu = \{1, 1, 1, \dots, 1\}$$

$$N_\nu^{\text{half},-} \left(\frac{1}{q} \sqrt{\frac{t}{q}} \right) \neq 0 \quad \text{only if } \nu = \{n\}$$

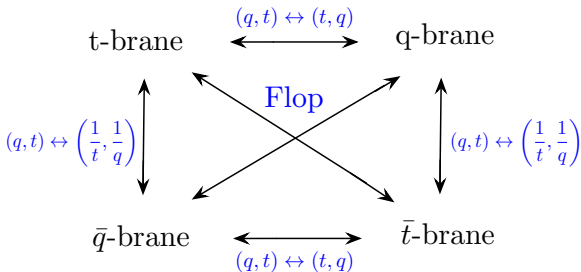
which constraint the Young diagram on single brane to be symmetric or anti-symmetric.

- Four types of branes can be defined by geometric transition

$$q\text{-brane} : Q^* = q\sqrt{\frac{q}{t}}, \quad t\text{-brane} : Q^* = t\sqrt{\frac{t}{q}},$$

$$\bar{q}\text{-brane} : Q^* = \frac{1}{q}\sqrt{\frac{t}{q}}, \quad \bar{t}\text{-brane} : Q^* = \frac{1}{t}\sqrt{\frac{q}{t}}.$$

- These four types of branes are actually equivalent up to exchange symmetry, which are inherited from exchange symmetries of closed GV formula.

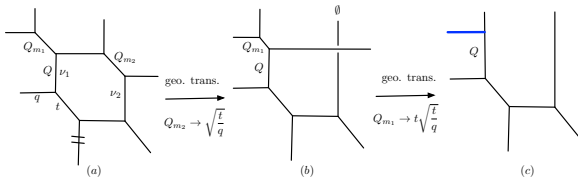


Open partition function

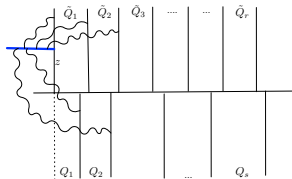
- ▶ Now, we already know open partition functions of q, \bar{q}, t, \bar{t} -brane can be obtained from closed partition functions by giving EVE to some Kähler parameter Q^* .
- ▶ Question: Could we use ref. top. vertex to calculate refined open partition function?
Answer: YES, and NO
- ▶ Refined top. vertex was designed for toric diagrams, so it behaves unstable and may gives wrong result for non-toric diagrams. We need to be very careful.

Examples

- ▶ Geometric transition or Higgsing to create Lag-branes

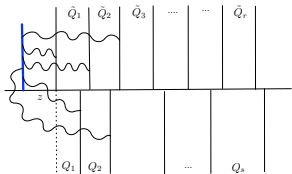


- ▶ Branes on strip geometry usually are hypergeometric functions, and encode **infinity many open BPS states**.



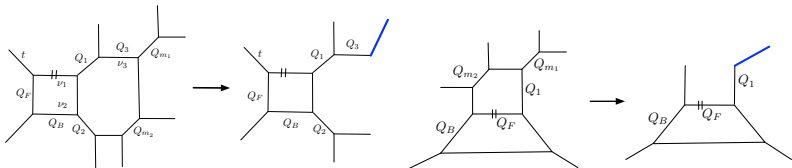
$$Z_{\bar{q}\text{-brane}}^{\text{open}}(z, \alpha_i, \beta_j) = \sum_{n=0}^{\infty} \frac{\left(z\sqrt{\frac{t}{q}}\right)^n}{(t, t)_n} \frac{(\alpha_1\sqrt{\frac{t}{q}}, t)_n (\alpha_2\sqrt{\frac{t}{q}}, t)_n \cdots (\alpha_s\sqrt{\frac{t}{q}}, t)_n}{(\beta_1\frac{t}{q}, t)_n (\beta_2\frac{t}{q}, t)_n \cdots (\beta_r\frac{t}{q}, t)_n},$$

- ▶ Branes associated **finite many open BPS states.**



$$Z_{t\text{-brane}}^{\text{open}}(z, \alpha_i, \beta_j) = \frac{(z\beta_1\sqrt{qt}, q)_\infty (z\beta_2\sqrt{qt}, q)_\infty \cdots (z\beta_r\sqrt{qt}, q)_\infty}{(zt, q)_\infty (z\alpha_1 t, q)_\infty (z\alpha_2 t, q)_\infty \cdots (z\alpha_s t, q)_\infty}.$$

- ▶ Straightforwardly, geometric transition gives open top. strings on local CY with compact divisors.



- ▶ How to count open BPS states?

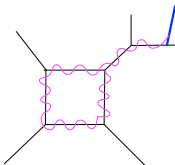
Open Gopakuma-Vafa formula

- ▶ Closed topological strings satisfy Gopakuma-Vafa formula. Open topological strings should also similar formula. For t -brane, $Z_{\text{BPS}}^{\text{open}} = e^{\mathcal{F}_{t\text{-brane}}}$,

$$\mathcal{F}_{t\text{-brane}} = \sum_{\beta \in H_2(X, L, \mathbb{Z})} \sum_{s, r} \sum_{n=1}^{\infty} \frac{(-1)^{2s} N_{\beta}^{(s, r)} q^{-ns} t^{n(r + \frac{1}{2})}}{n (q^{n/2} - q^{-n/2})} Q_{\beta}^n$$

where (s, r) should be the combination of spin and r -charge of symmetry $SO(2)_{\mathbb{C}_t} \times SO(2)_{\mathbb{R}}$, and (s, r) can be negative. $N_{\beta}^{s, r}$ are called open BPS invariants. $N_{\beta}^{s, r}$ is the number of BPS states in the same representation. One can also write down open GV formulas for other branes using exchange symmetry. $N_{\beta}^{s, r}$ are the same for different types of branes.

- Open strings could wrap around the boundary of compact divisor.



$Q1 Q3 Q6^4 QF^3$

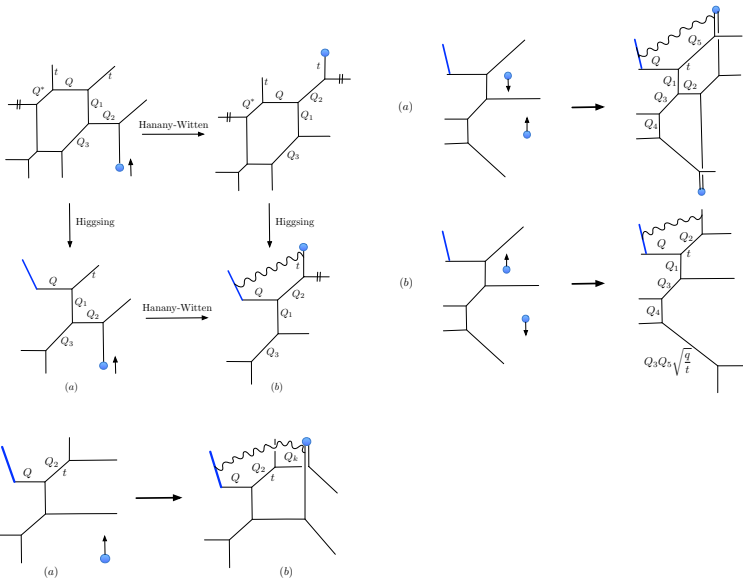
(1, 0, 1, 4, 3)

$2r \setminus 2s$	-23	-21	-19	-17	-15	-13	-11	-9	-7	-5	-3	-1	1	3	5	7	9	11	13	15	17	19	21	23	25		
-23							1																				
-21						1	2	1																			
-19					1	3	6	3	1																		
-17				1	3	8	12	9	3	1																	
-15			1	3	9	16	24	19	10	3	1																
-13		1	3	8	16	28	38	37	22	10	3	1															
-11	1	2	6	12	24	38	55	57	45	23	10	3	1														
-9		1	3	9	19	37	57	77	70	48	23	10	3	1													
-7			1	3	10	22	45	70	93	77	49	23	10	3	1												
-5				1	3	10	23	48	77	102	80	49	23	10	3	1											
-3					1	3	10	23	49	80	107	81	49	23	10	3	1										
-1						1	3	10	23	49	81	109	81	49	23	10	3	1									
1							1	3	10	23	49	81	110	81	49	23	10	3	1								
3								1	3	10	23	49	81	109	81	49	23	10	3	1							
5									1	3	10	23	49	81	107	80	49	23	10	3	1						
7										1	3	10	23	49	80	102	77	48	23	10	3	1					
9											1	3	10	23	49	77	93	70	45	22	10	3	1				
11												1	3	10	23	48	70	77	57	37	19	9	3	1			
13													1	3	10	23	45	57	55	38	24	12	6	2	1		
15														1	3	10	22	37	38	28	16	8	3	1			
17															1	3	10	19	24	16	9	3	1				
19																1	3	9	12	8	3	1					
21																	1	3	6	3	1						
23																		1	2	1							
25																				1							

Hanany-Witten transition

- ▶ Through geometric engineering, closed topological strings engineer 5d $\mathcal{N} = 1$ SCFTs, while open topological strings engineer 3d-5d coupled gauge theories.
- ▶ Toric diagrams in M-theory dual to brane webs of 5d $\mathcal{N} = 1$ SCFTs in IIB. HW: One can move 7-branes freely without changing BPS spectrum by creating extra 5-branes when crossing 5-branes.
- ▶ Q: Does HW transition change open topological strings?
A: Almost not, but creating some extra open strings.

- The open partition functions of following brane webs are equivalent if throwing away some open strings created by HW transition.



Conclusion and outlook

- ▶ Conclusion: Open topological strings are different from closed topological strings. Many operations, such as HW transitions and Flops, do not change closed strings but change open strings.
- ▶ Refined top. vertex does not work well for non-toric diagrams (CY with brane can be regarded as some kind of non-toric diagrams), we need to use other method, such as VOA construction of top. vertex to ignores non-toric structure.
- ▶ Multiple brane cases and branes on internal lines are subtle problems. Many open strings emerge and connect among branes, even if branes are top of each other.
- ▶ Modular transformations of open partition functions to relate different placements of branes.
- ▶ Refined open BPS wall crossing.
- ▶ Does quiver representation for open top. strings works beyond strip geometry? Does quiver equal to toric geometry? What is the CY condition for quivers?

Thank you very much.